



Forward Community Investments
A Community Investment Fund
2352 South Park Street, Suite 326
Madison, WI 53713
tel: 608.257.3863

Investor Application

Please complete and return via mail or email to Forward Community Investments, Inc.

Mailing Address: 2352 South Park Street, Suite 326 Madison, WI 53713

Email Address: invest@forwardci.org

Part 1. General Information

Investor (please check one): ☐ Individual ☐ Entity

Investor is domiciled/incorporated in Wisconsin (please check one): ☐ Yes ☐ No

Name (First, MI, Last or Name of Entity)

Social Security No. or Tax ID No.

Address

City

State

Zip Code

Daytime Telephone No.

Email

If an Entity, Type of Entity (partnership, corporation, trust)

If an Entity, Jurisdiction of Formation of Incorporation

Joint Investor (if applicable)

Name (First, MI, Last or Name of Entity)

Social Security No. or Tax ID No.

Address (Complete only if different from above)

City

State

Zip Code

Daytime Telephone No.

Email

Part 2. Proposed Terms of Investment

Principal Amount \$ _____ (*Minimum \$10,000**)

Interest Rate _____ % (*between 0% - 3.0%*)

Term ☐ 3 years ☐ 5 years ☐ 10 years ☐ Other _____ years (*Preferred minimum is 3 years*)

Frequency of Interest Payment (*Please check one*)

☐ Annually on June 1

☐ Non-compounded interest held until maturity of loan

Interest Payments (*Please check one*)

☐ Send interest payments to the address listed in Part I, General Information

☐ Send interest payments to address listed in Part II (below)

Send Interest Payments To:

Name of Recipient

Company Name

Address

City

State

Zip Code

Telephone No.

Social Security Number*

*Note: The form 1099 will be issued to this SSN



Part 3. Accredited Investor Qualification

(for non-Wisconsin individuals only)

NOTE: If you are domiciled in Wisconsin and prefer not to complete this section, please disregard.

Individual investors not domiciled in Wisconsin must meet at least one of the two following suitability standards to be an accredited investor.

NET WORTH TEST: Is your current net worth, including the current worth of your spouse/partner (if married/eligible to file jointly), in excess of \$1,000,000, excluding your primary residence?

☐ **Yes.** If yes, you are qualified. Go directly to Part 4.

☐ **No.** You must qualify under either the net worth or income test. Please see below.

INCOME TEST: In each of the two most recent years, was your individual gross income (from all sources) in excess of \$200,000 or was your joint gross income with your spouse/partner (if married/eligible to file jointly) in excess of \$300,000?

AND

For this year, do you anticipate that your gross income (from all sources) will reach the same level as the previous two years?

☐ **Yes.** If yes, you are qualified. Go directly to Part 4.

☐ **No.** You must qualify under either the net worth or income test. Please see above.

If you are not qualified under either the net worth or income test, please contact Forward Community Investments for further discussion about qualification options.



Part 4. Repayment of Loan to Estate or Bequest Provision

(for individuals only)

Some individual lenders to Forward Community Investments wish to designate that, in the event of their death before the maturity of the loan, the loan automatically be discharged as a general bequest – converted to a gift to Forward Community Investments. If you would like to designate that your loan be converted to a gift in the event of your death before the loan's maturity, please check the box below and sign where indicated. **Without specific authorization to convert a loan into a bequest, the loan would be repaid to one's estate upon its maturity.**

- ☐ In the event of my death prior to maturity date of this loan, I hereby bequeath the full value of this loan, including any accrued or unpaid interest or, alternatively, the following amount (\$ _____) of this loan or the following percentage (_____%) of this loan to Forward Community Investments, Inc, a voluntary nonprofit community investment fund with primary businesses offices at 2352 South Park Street, Suite 326, Madison, WI 53713.
- ☐ In the event of my death, please return loan proceeds to my estate upon loan maturity.
- ☐ In the event of my death, please return loan proceeds to my estate upon loan maturity to:

Name

Address

Telephone

Social Security Number

Birth Date

Authorized Signature

Print Authorized Signatory's Name

Date



Part 5. Accredited Investor Qualification

(for entities only)

Entity investors must fall into one of the following categories to be an accredited investor.

Please indicate which category best describes your entity:

- ☐ A bank, insurance company, registered investment company, business development company, or a small business investment company
- ☐ An employee benefit plan, within the meaning of the Employee Retirement Income Security Act, if a bank, insurance company, or registered investment adviser makes the investment decisions, or if the plan has total assets in excess of \$5 million
- ☐ A charitable organization, corporation, or partnership with assets exceeding \$5 million
- ☐ A director, executive officer, or general partner of the company selling the securities
- ☐ A business in which all the equity owners are accredited investors
- ☐ A trust with assets in excess of \$5 million, not formed to acquire the securities offered, whose purchases a sophisticated person makes
- ☐ None of the above. *If you are not described by any of these categories, please contact Forward Community Investments.*



Part 6. Signature

(click to review the [FCI Prospectus](#))

By signing below, I certify that all information provided in this application is true, complete, and accurate to the best of my knowledge and belief. I understand that this application expresses my/my entity's intent to make an investment in the form of a loan to Forward Community Investments, Inc. (FCI) and reflects my wishes regarding the proposed terms of that investment.

I acknowledge that I have received and reviewed the FCI Prospectus (linked above), and I agree to seek clarification and obtain any additional information I may need prior to executing the formal loan documents.

I further acknowledge that this application does not constitute a binding agreement or commitment by either party. I understand that, if the application is approved, official loan documentation will be provided by FCI for my review and signature, which will outline the final agreed-upon terms and conditions of the investment.

Authorized Signature

Signature of Joint Investor, if applicable

Print Authorized Signatory's Name

Print Joint Investor's Name

Title of Authorized Signatory (if applicable)

Date

Name of Entity (if applicable)

Date

